IFW



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT		Docket Number 10020/31502		
Application Number 10/807,739	Filing Date March 24, 2004	Examiner Not Yet Assigned	Art Unit Not Yet Assigned	
Invention Title DUAL EMITTING DYADS OF HEAVY METAL COMPLEXES AS BROAD BAND EMITTERS FOR ORGANIC LEDs		Inventor(s) THOMPSON et al.		

Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Date: 6/3

Signature:

Thomas F. Meagher (Reg. No. 29,861)

- In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the following references to the attention of the Examiner. The references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
- 2. Since the U.S. Patent and Trademark Office has waived the requirement under 37 C.F.R. §1.98 (a)(2)(i) to submit a copy of each cited U.S. Patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003, copies of the U.S. patents and U.S. patent application publications listed on the modified PTO Form No. 1449 are <u>not</u> enclosed.
- Tis believed that no fees are due in connection with this Information Disclosure Statement. However, should any fees be due, the Commissioner is authorized to charge Deposit Account No. 11-0600 for such fees. A duplicate copy of this communication is enclosed for charging purposes.

Dated: 6 3 04

By:

Thomas F. Meagher (Reg. No

Kenyon & Kenyon One Broadway New York, NY 10004 (212) 425-7200 (telephone) (212) 425-5288 (facsimile)

© Kenyon & Kenyon 2003

DOCKET NO. 10020/31502 INFORMATION DISCLOSURE STATEMENT BY APPLICANT THOMPSON et al. PTO-1449 FILING DATE March 24, 2004 DOCKET NO. 10/807,739 APPLICANT THOMPSON et al. GROUP Not Yet Assigned

JUN 0 7 2004.

U. S. PATENT DOCUMENTS

3		<u> </u>				т
EXAMPLE INITIAL	PATENT NUMBER	PATENT DATE	NAME	CLASS	SUBCLASS	FILING DATE*
	4,769,292	September 6, 1988	Tang et al.			
<u>.</u> .	5,247,190	September 21, 1993	Friend et al.			
	5,703,436	December 30, 1997	Forrest et al.			
	5,707,745	January 13, 1998	Forrest et al.			
	5,834,893	November 10, 1009	Bulovic et al.			
	5,844,363	December 1, 1998	Gu et al.			
	6,013,982	January 11, 2000	Thompson et al.			
	6,087,196	July 11, 2000	Sturm et al.	_		
	6,091,195	July 18, 2000	Forrest et al.			
	6,097,147	August 1, 2000	Baldo et al.			
	6,294,398	September 25, 2001	Kim et al.			
	6,303,238	October 16, 2001	Thompson et al.			<u>. </u>
	6,310,360	October 30, 2001	Forrest et al.			
	6,337,102	January 8, 2002	Forrest et al.			
	6,468,819	October 22, 2002	Kim et al.			
	2002/0034656	March 21, 2002	Thompson et al.			
	2002/0182441	December 5, 2002	Lamansky et al.			
	2003/0072964	April 17, 2003	Kwong et al.			
	2003/0230980	December 18, 2003	Forrest et al.			
· .	2004/0086743	May 6, 2004	Brown et al.			

FOREIGN PATENT DOCUMENTS

						TRANSLATION	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	WO 02/074015	September 19, 2002	PCT				

OTHER DOCUMENTS

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.	
	Kwong et al., "High Operational Stability of Electrophosphorescent Devices," Appl. Phys. Lett., Vol. 81, No. 1, pp.162-164 (2002)	

EXAMINER INITIAL	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.
	Baldo et al., "Highly Efficient Phosphorescent Emission from Organic Electroluminescent Devices," Nature, Vol 395, pp. 151-154 (1998)
OLP E CIE	Baldo et al., "Very High-Efficiency Green Organic Light-Emitting Devices Based on Electrophosphorescence," Appln. Phys. Lett., Vol. 75, No. 1, 4-6 (1999)
JUN 0 7 2004 2	Adachi et al., "Nearly 100% Internal Phosphorescent Efficiency in an Organic Light Emitting Device," J. Appl. Phys., Vol 90, No. 10, pp. 5048-5051 (2001)
57	Lu et al., U.S. Patent Application Serial No. 09/931,948, filed August 20, 2001, entitled "Transparent Electrodes".
TATE TRADE	Shtein et al., U.S. Patent Application Serial No. 10/233,470, filed September 4, 2002, entitled "Process and Apparatus for Organic Vapor Jet Deposition".

EXAMINER	DATE CONSIDERED		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			